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# A Congruence Relation in Partially Ordered Sets

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**Abstract**: A concept of congruence relations in partially ordered sets is introduced in this article. A fundamental theorem for homomorphism is obtained. Further extensions to "doubly directed" sets are obtained.

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#### 1 Introduction

Congruence relations are studied in lattices and semilattices(see, for example, [1] and [2]). A definiton for congruence relations in partially ordered sets is proposed in this article. A partial order on a set is an order which is reflexive, anti-symmetric and transitive. A set with a partial order is called a poset(partially ordered set). A poset in which any two elements have a least upper bound and a greatest lower bound is called a lattice. An equivalence relation in a set is a relation which is reflexive, symmetric and transitive. The collection of all equivalence classes on a set corresponding to an equivalence relation form a partition. On the other hand each partition on a set corresponds to an equivalence relation. The books ([3],[4]) are referred to fundamental concepts and results. In a lattice

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 $(L,\leq)$  or  $(L,\vee,\wedge)$ , an equivalence relation  $\theta$ , denoted by  $x\equiv y\pmod{\theta}$  when x and y are related in L, is called a congruence relation, if it has the substitution properties:  $x\wedge y\equiv y\wedge z\pmod{\theta}$  and  $x\vee y\equiv y\vee z\pmod{\theta}$  whenever  $x\equiv y\pmod{\theta}$  in L and  $z\in L$ . A definition for congruence relations in posets will be given in the next section. A corresponding fundamental theorem of homomorphism is obtained. The definitions and the theorem are also extended to "doubly directed sets". Let us say that a poset  $(P,\leq)$  is a doubly directed set, if for given  $a,b\in P$ , there are c and d in P such that  $c\leq a\leq d$  and  $c\leq b\leq d$ .

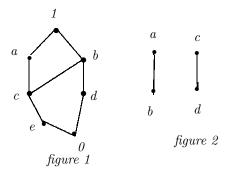
### 2 Some definitions and examples

**Definition 2.1.** Let  $(P, \leq)$  be a poset. Let  $\theta$  be an equivalence relation on P. It is called a congruence relation, if the following hold in P.

- (i) If  $x_1 \leq x_2$  and  $x_1 \equiv y_1 \pmod{\theta}$ , then there is an element  $y_2$  in P such that  $x_2 \equiv y_2 \pmod{\theta}$  and  $y_1 \leq y_2$ .
- (ii) If  $x_1 \leq x_2$  and  $x_2 \equiv y_2 \pmod{\theta}$ , then there is an element  $y_1$  in P such that  $x_1 \equiv y_1 \pmod{\theta}$  and  $y_1 \leq y_2$ .
- (iii) If  $x \equiv z \pmod{\theta}$  and  $x \leq y \leq z$ , then  $x \equiv y \pmod{\theta}$ .

**Example 2.1.** Let  $\theta$  be an usual (lattice) congruence relation on a lattice  $(L, \leq)$  or  $(L, \vee, \wedge)$  mentioned in the previous section. If  $x_1 \leq x_2$  and  $x_1 \equiv y_1 \pmod{\theta}$  in L, then  $x_2 = x_1 \vee x_2 \equiv y_1 \vee x_2 \pmod{\theta}$  and  $y_1 \leq y_1 \vee x_2$ . If  $x_1 \leq x_2$  and  $x_2 \equiv y_2 \pmod{\theta}$  in L, then  $x_1 = x_1 \wedge x_2 \equiv x_1 \wedge y_2 \pmod{\theta}$  and  $x_1 \wedge y_2 \leq y_2$ . Thus the conditions (i) and (ii) of the previous definition are satisfied. In view of the lemma in page 22 in [3], the condition (iii) of the previous definition is also satisfied.

**Example 2.2.** Consider the lattice given by the Hasse diagram in figure 1. Consider the partition  $\{\{1\}, \{a,b\}, \{c,d\}, \{e,0\}\}\}$ . This defines a congruence relation  $\theta$  mentioned in definition 2.1. However,  $e \lor d = b$ ,  $\theta \lor d = d$ ,  $e \equiv \theta \pmod{\theta}$  and  $b \neq d \pmod{\theta}$ .



**Example 2.3.** Consider the poset given by the Hasse diagram in figure 2. Then the partition  $\{\{a,d\},\{b,c\}\}$  defines an equivalence relation. It is not a congruence relation that satisfies the conditions (i), (ii) and (iii) of definition 2.1.

**Example 2.4.** Let  $(P, \leq)$  be a poset. Define an equivalence relation  $\theta$  on P by  $a \equiv b \pmod{\theta}$  if and only if  $\{x \in P : x < a\} = \{x \in P : x < b\}$  and  $\{x \in P : x > a\} = \{x \in P : x > b\}$ , (see definition 4.3 in chapter 2 in [3]). If  $x_1 < x_2$  and  $x_1 \equiv y_1 \pmod{\theta}$ , then  $x_2 \in \{x \in P : x > x_1\} = \{x \in P : x > y_1\}$ , so that  $y_1 < x_2$ . If  $x_1 < x_2$  and  $x_2 \equiv y_2 \pmod{\theta}$ , then  $x_1 \in \{x \in P : x < x_2\} = \{x \in P : x < y_2\}$  so that  $x_1 < y_2$ . If  $x_1 \equiv z_1 \pmod{\theta}$  and  $x_1 \leq y_1 \leq z_1$ , then  $\{x \in P : x < z_1\} = \{x \in P : x < x_1\} = \{x \in P : x < y_1\}$  and  $\{x \in P : x > x_1\} = \{x \in P : x > y_1\}$  so that  $x_1 \equiv y_1 \pmod{\theta}$ . These three statements prove that  $\theta$  is a congruence relation of definition 2.1, because the other cases of verification are trivial.

Let us recall that a mapping  $T: P_1 \to P_2$  from a poset  $P_1$  to a poset  $P_2$  is said to be order preserving, if  $T(a) \leq T(b)$  in  $P_2$ , whenever  $a \leq b$  in  $P_1$ .

**Definition 2.2.** A mapping  $T: P_1 \to P_2$  from a poset  $P_1$  to a poset  $P_2$  is inversely order preserving if the following are satisfied whenever  $a \le b$  for some  $a, b \in T(P_1)$ .

- (I) For given  $a_1 \in T^{-1}(a)$ , there is a  $b_1 \in T^{-1}(b)$  such that  $a_1 \leq b_1$
- (II) For given  $b_2 \in T^{-1}(b)$ , there is an  $a_2 \in T^{-1}(a)$  such that  $a_2 \leq b_2$
- (III) If  $x, z \in T^{-1}(a)$  and  $x \le y \le z$  in  $P_1$ , then  $y \in T^{-1}(a)$ .

## 3 A fundamental theorem of homomorphism

**Theorem 3.1.** Let  $\theta$  be an equivalence relation on a poset  $(P, \leq)$ . If  $\theta$  is a congruence relation mentioned in definition 2.1, then  $P/\theta$  becomes a poset and the natural quotient mapping  $\pi: P \to P/\theta$  is a surjective, order preserving and inversely order preserving mapping. On the other hand, for a given mapping  $T: P \to P_1$  from P onto a poset  $P_1$  which is order preserving and inversely order preserving, the partition  $\{T^{-1}(a): a \in P_1\}$  leads to a congruence relation of definition 2.1.

**Proof** First part: When  $\theta$  is a congruence relation, let [x] denote the equivalence class containing x. Define an order relation  $\leq$  on  $P/\theta$  by the rule:  $[x] \leq [y]$  if and only if for given  $x_1 \in [x]$  and  $y_1 \in [y]$ , there are  $x_2 \in [x]$  and  $y_2 \in [y]$  such that  $x_1 \leq y_2$  and  $x_2 \leq y_1$ . Note that  $[x] \leq [x]$ ,  $\forall x \in P$ .

To prove anti-symmetricity, suppose  $[x] \leq [y]$  and  $[y] \leq [x]$  in  $P/\theta$ . Then there are  $y_1 \in [y]$  and  $x_1 \in [x]$  such that  $x \leq y_1 \leq x_1$ . Then, by (iii) of definition 2.1,  $y \equiv x \pmod{\theta}$ . This proves the anti-symmetricity of the relation in  $P/\theta$ . To prove transitivity, consider the relations  $[x] \leq [y]$  and  $[y] \leq [z]$  in  $P/\theta$ . Then, to given  $x_1 \in [x]$ , there are  $y_1 \in [y]$  and  $z_1 \in [z]$  such that  $x_1 \leq y_1$  and  $y_1 \leq z_1$  so that  $x_1 \leq z_1$ . Similarly, to given  $z_1 \in [z]$ , there are  $y_1 \in [y]$  and  $x_1 \in [x]$  such that  $x_1 \leq y_1$  and  $y_1 \leq z_1$  so that  $x_1 \leq y_1$  and  $y_1 \leq z_1$  so that  $x_1 \leq z_1$ . This proves transitivity and hence  $P/\theta$  is also a poset.

Suppose  $x \leq y$  in P. Then, by (i) of definition 2.1, for given  $x_1 \in [x]$ , there is a  $y_1 \in [y]$ , such that  $x_1 \leq y_1$ . Similarly, for given  $y_2 \in [y]$ , there is a  $x_2 \in [x]$ , such that  $x_2 \leq y_2$ . So  $[x] \leq [y]$ . Thus the mapping  $\pi: P \to P/\theta$  defined by  $\pi(x) = [x]$  is order preserving. The definition 2.1 and the definition 2.6 imply that  $\pi$  is inversely order preserving. **Second part:** Now, let  $\theta$  denote the equivalence relation defined by  $\{T^{-1}(a): a \in P_1\}$  for the given mapping  $T: P \to P_1$ . The definition 2.1 and the definition 2.6 imply that  $\theta$  is a congruence relation. This completes the proof of the theorem.

### 4 Doubly directed sets

A poset  $(P, \leq)$  is a doubly directed set if any two elements in P have an upper bound and a lower bound.

**Definition 4.1.** Let  $(P, \leq)$  be a doubly directed set. Let  $\theta$  be an equivalence relation on P. It is called a congruence relation if it satisfies the following:

- (i) If x and y are given, if  $z \ge x$ ,  $z \ge y$ , and if  $x \equiv x_1 \pmod{\theta}$ ,  $y \equiv y_1 \pmod{\theta}$  in P, then there is a  $z_1$  in P such that  $z_1 \ge x_1, z_1 \ge y_1$  and  $z \equiv z_1 \pmod{\theta}$ .
- (ii) If x and y are given, if  $z \le x, z \le y$ , and if  $x \equiv x_2 \pmod{\theta}$ ,  $y \equiv y_2 \pmod{\theta}$  in P, then there is a  $z_2$  in P such that  $z_2 \le x_2$ ,  $z_2 \le y_2$ , and  $z \equiv z_2 \pmod{\theta}$ .
- (iii) If  $x \equiv z \pmod{\theta}$  and  $x \leq y \leq z$ , then  $x \equiv y \pmod{\theta}$

Let us observe that the conditions (i),(ii) and (iii) of definition 4.1 imply the corresponding conditions (i),(ii) and (iii) of definition 2.1, where  $z_1 = y_2, z = x_2$  and  $x = y = x_1$  (for(i)). Let us now rephrase the definition 2.6.

**Definition 4.2.** A mapping  $T: P_1 \to P_2$  from a doubly directed set  $P_1$  to a doubly directed set  $P_2$  is inversely direction preserving, if the following are satisfied:

- (I) If a, b, c are in  $T(P_1)$  satisfying  $a \le c$  and  $b \le c$ , then for given  $a_1 \in T^{-1}(a), b_1 \in T^{-1}(b)$ , there is a  $c_1 \in T^{-1}(c)$  such that  $a_1 \le c_1$  and  $b_1 \le c_1$ .
- (II) If a, b, c are in  $T(P_1)$  satisfying  $a \ge c$  and  $b \ge c$ , then for given  $a_2 \in T^{-1}(a), b_2 \in T^{-1}(b)$ , there is a  $c_2 \in T^{-1}(c)$  such that  $a_2 \ge c_2$  and  $b_2 \ge c_2$ .

(III) If  $a \in T(P_1)$  and  $x, z \in T^{-1}(a)$ , and  $x \leq y \leq z$  in  $P_1$ , then  $y \in T^{-1}(a)$ .

Let us again observe that the conditions (I),(II) and (III) of definition 4.2 imply that corresponding conditions (I),(II) and (III) of definition 2.6, where  $c_1 = b, c = b, b_1 = a_1$  and b = a (for(I)). Let us now rephrase the theorem 3.1.

**Theorem 4.1.** Let  $\theta$  be an equivalence relation on a doubly directed set  $(P, \leq)$ . If  $\theta$  is a congruence relation mentioned in definition 4.1, then  $P/\theta$  becomes a doubly directed set and the natural quotient mapping  $\pi: P \to P/\theta$  is a surjective, order preserving and inversely direction preserving mapping. On the other hand, for a given mapping T from P onto a doubly directed set  $P_1$  which is order preserving and inversely direction preserving, the partition  $\{T^{-1}(a): a \in P_1\}$  leads to a congruence relation of definition 4.1.

**Proof** First part: Let us follow the notations and definitions used in the proof for the first part of the theorem 3.1. Then  $P/\theta$  is a poset. Let us fix x and y in P and hence [x] and [y] in  $P/\theta$  to verify that  $P/\theta$  is a doubly directed set. Since P is a doubly directed set, there are a and b in P such that  $a \le x \le b$  and  $a \le y \le b$ . Then it follows from the definition 4.1 that  $[a] \le [x] \le [b]$  and  $[a] \le [y] \le [b]$  in  $P/\theta$ . Thus  $P/\theta$  is a doubly directed set; and  $\pi$  is inversely direction preserving. **Second part:** The definitions 4.1 and 4.2 imply the second part to complete the proof of the theorem.

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